Docket No.: PEK-IN1022

I hereby certify that this correspondence is sens deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Ву: Д

Date: September 26, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Achim Gratz

Applic. No.

09/940,001

Filed

August 27, 2001

Title

Vertical Non-Volatile Semiconductor Memory Cell and Method

for Manufacturing the Memory Cell

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U. S. Patent 5,616,510 (Wong) dated April 1, 1997;

U. S. Patent 6,034,389 (Burns, Jr. et al.) dated March 7, 2000.

Respectfully submitted,

For Applicants

Mark P. Weichselbaum Reg. No. 43,248

Date: September 26, 2001

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